

Attorney's Docket No.: 17105-021001 / 0057

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

pplicant : J. Chen Serial No. : 09/905,777 Filed : July 13, 2001 Conf. No. : 5768 Title

Art Unit : 1614 Examiner : Brian Kwon Customer No.: 20985

: NONINVASIVE VASCULAR THERAPY

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

### TRANSMITTAL LETTER

Dear Sir:

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Transmitted herewith are an Supplemental Information Disclosure Statement and Forms PTO-1449 (1 page) and cited reference for filing in connection with the aboveidentified application. Because this Supplemental Information Disclosure Statement is filed prior to receipt of a first office action on the merits in the above-referenced application, no fee is due. However, should it be determined that a fee for filing these papers is required, the Commissioner is authorized to charge Deposit Account No. 06-1050, as stated below:

> The Commissioner is hereby authorized to charge any fees that may be due in connection with this paper or with this application during its entire pendency to Deposit Account No. 06-1050. A duplicate of this sheet is enclosed.

Respectful submitted,

Stephanie L. Seidman Reg. No. 33,779

Attorney Docket No. 17105-021001 / 0057 Address all correspondence to: Stephanie L. Seidman Fish & Richardson P.C. 12390 El Camino Real San Diego, California 92130 Telephone: (858) 678-5070 Facsimile: (202) 626-7796 email: seidman@fr.com

> CERTIFICATE OF MAILING BY "EXPRESS MAIL" "Express Mail" Mailing Label Number EV 399294938 US Date of Deposit September 21, 2004

I hereby certify that this paper is being deposited with the United States Postal "Express Mail Post Office to Addressee" Service under 37 CFR §1.10 on the date indicated above and is addressed to: Commissioner for Patents, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA, 22313-1450.

Stephanie L. Seidman



# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant :J. ChenArt UnitSerial No. :09/905,777ExaminerFiled :July 13, 2001CustomerConf. No. :5768Title:NONINVASIVE VASCULAR THERAPY

Art Unit : 1614 Examiner : Brian Kwon Customer No.: 20985

### Mail Stop Amendment Commissioner for Patents

P.O. Box 1450 Alexandria, VA 22313-1450

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT IN ACCORDANCE WITH 37 C.F.R. §§1.97-1.98

Dear Sir:

Because this Supplemental Information Disclosure Statement is filed before the receipt of a First Office Action on the Merits for the above-captioned application, a fee for filing this statement should not be due. If, however, it is determined that a fee is due, any fees that may be due in connection with filing this paper may be charged to Deposit Account No. 06-1050.

In accordance with the duty of disclosure imposed by 37 C.F.R. §1.56 to inform the Patent Office of all references known by Applicant or Applicant's representative that may be material to the examination of the subject application, Applicant's representative hereby provides this Supplemental Information Disclosure Statement that is prepared in accordance with 37 C.F.R. §§1.97-1.98. Form PTO-1449 (1 page) and a copy of the cited reference are provided herewith in connection with the above-captioned application.

The documents listed on the Form PTO-1449 are in the English language. Hence, in accordance with the requirements of 37 C.F.R. §1.98, as amended effective March 16, 1992, no further explanation of the listed item is necessary.

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Stephanie L. Seidman

Applicant : J. ChenSerial No. : 09/905,777Filed : July 13, 2001Supplemental Information Disclosure StatementPage : 2 of 2

4

Further to the list provided in the communication filed on July 20, 2004, Applicant also makes known to the Examiner the following pending U.S. and International Applications that have one or more common inventors and/or are commonly owned:

<u>U.S.S.N.</u>	Filing Date	<u>Docket No.</u>
10/899,834	7/26/2004	021002 / 0057B

Although these documents are made known to the Patent and Trademark Office in compliance with Applicant's duty of disclosure, such disclosure is not to be construed as an admission by Applicant or Applicant's representative that any of the references is effective as prior art against the subject application. In accordance with 37 C.F.R. 1.97(h), the filing of this Supplemental Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 C.F.R. 1.56(b) exists.

Applicant respectfully requests that the Examiner review the foregoing references and make them of record in the file history of the above-captioned application.

Respectfully submitted,

Stephanie I. Seidman Reg. No. 33,779

Attorney Docket No. 17105-021001 / 0057 Address all correspondence to: Stephanie L. Seidman Fish & Richardson P.C. 12390 El Camino Real San Diego, California 92130 Telephone: (858) 678-5070 Facsimile: (202) 626-7796 email: seidman@fr.com

Group Art Unit 1614	
Filing Date Appropriate	

Foreign Patent Documents or Published Foreign Patent Applications								
Examiner	Desig.	Document	Publication	Country or			Trans	lation
Initial	ID	Number	Date	Patent Office	Class	Subclass	Yes	No
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Other Documents (include Author, Title, Date, and Place of Publication)				
Examiner	Desig.			
Initial	ID	Document		
/KAC/	AA	Mackie, et al., "In vivo canine coronary artery laser irradiation: photodynamic therapy using dihematoporphyrin ether and 632 nm laser. A safety and dose-response relationship study" <u>Lasers</u> <u>Surg Med</u> 11:535-544 (1991)		
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Examiner Signature /Karen A. Canella, Ph.D./	Date Considered 06/28/2010			
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.				